

16562 U.S. PTO

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PTO/SB/05 (05-03)
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**UTILITY
PATENT APPLICATION
TRANSMITTAL***(Only for new nonprovisional applications
under 37 CFR 1.53(b))*

Attorney Docket No. ORCL.P0036CON2

First Named Inventor: Randall Shoup, et al.

Title: Method, Article of Manufacturing, and Apparatus For
Generating A Multi-Dimensional Record Management Index

Express Mail Label No. EV321625742

5535 U.S. PTO
10/823096**APPLICATION ELEMENTS***See MPEP chapter 600 concerning utility patent application contents.***ADDRESS TO:**

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.3. ☒ Specification -- **Total Pages** 1104. ☒ Drawing(s) (35 U.S.C. 113) -- **Total Sheets** 235. ☒ Oath or Declaration -- **Total Pages** 2a. ☐ Newly executed (original or copy)b. ☒ Copy from a prior application (37 C.F.R.
§ 1.63(d))
(for continuation/divisional with Box 18
completed)i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d) and 1.33(b).6. ☐ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. ☐ Computer Reader Form(CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ Paperc. ☐ Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations13. ☒ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☐ Nonpublication Request under 35 U.S.C.
122(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent.17. ☐ Other:18. ☐ If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in the first sentence of the
specification following the title, or in an Application Data Sheet under 37 CFR 1.76:☒ Continuation of prior application No: 09/513,364 filed February 25, 2000

Prior application information: Examiner: Harold E. Dodds

Group/Art Unit: 2177

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is
supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is
hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from
the submitted application parts.

19. CORRESPONDENCE ADDRESS

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Signature

Date: **April 12, 2004**

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process)
an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and
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